



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/583,087
Filing Date	February 1, 2000
First Named Inventor	Shunpei YAMAZAKI
Group Art Unit	2815
Examiner Name	J. Jackson, Jr.
Total Number of Pages in This Submission	Attorney Docket Number 0756-2203

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Other Enclosures
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	1. Request for Certificate of Correction and Form 1050
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	2.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	3.
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	4.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		5.
		6.
<p style="border: 1px solid black; padding: 2px;">Remarks</p> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	May 15, 2007

*Certificate*  
*MAY 21 2007*  
*of Correction*

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Type or printed name	Adele M. Stamper		
Signature		Date	May 15, 2007

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

*MAY 21 2007*



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PTO/SB/21 (08-00)

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- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosures <ol style="list-style-type: none"> <li>1. Request for Certificate of Correction and Form 1050</li> <li>2.</li> <li>3.</li> <li>4.</li> <li>5.</li> <li>6.</li> </ol> |
| <b>Remarks</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.  |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	May 15, 2007

**CERTIFICATE OF MAILING**

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Type or printed name	Adele M. Stamper		
Signature		Date	May 15, 2007

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MAY 21 2007



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

Shunpei YAMAZAKI

Patent No.: 7,098,479

Dated: August 29, 2006

Serial No. 09/583,087

Filed: February 1, 2000

For: ELECTRO-OPTICAL DEVICE AND  
METHOD FOR MANUFACTURING  
THE SAME

) Group Art Unit: 2815

) Examiner: Jerome Jackson, Jr.

) CERTIFICATE OF MAILING  
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Mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450, on May 15, 2007.

) Adam M. Stamps

)

)

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR § 1.322 FOR**  
**CORRECTION OF OFFICE MISTAKE**

ATTN: Certificate of Correction Branch  
Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As provided in detail in the attached, the patentee respectfully requests that a Certificate of Correction be granted in the above-identified patent to correct mistakes in a patent, incurred through the fault of the Office.

Under 37 CFR 1.322, "The Commissioner may issue a certificate of correction pursuant to 35 U.S.C. 254 to correct a mistake in a patent, incurred through the fault of the Office, which mistake is clearly disclosed in the records of the Office at the request of the patentee or the patentee's assignee."

The patentee furthermore requests Expedited Issuance of this Certificate of Correction in accordance with MPEP § 1480.01. Specifically, this section provides that:

In an effort to reduce the overall time required in processing and granting Certificate of Correction requests, the Office will expedite

100-100

processing and granting of patentee requests where such requests are accompanied by evidence to show that the error is attributable solely to the Office . . . . Where the correction requested was incurred through the fault of the Office, and the matter is clearly disclosed in the records of the Office, and is accompanied by documentation that unequivocally supports the patentee's assertion(s), a Certificate of Correction will be expeditiously issued. MPEP § 1480.01

The following errors appear to have occurred through the fault of the Office, and the patentee respectfully requests correction thereof.

In summary, a *Correction to Previously Submitted Information Disclosure Statement* was filed March 16, 2006. The Examiner accepted the corrections as noted in the Interview Summary mailed April 5, 2006, and evidenced by initialed and hand-corrected Forms PTO-1449 attached to the Interview Summary. However, the issued patent does not reflect some of the requested corrections.

Specifically, both U.S. Patent No. 5,289,030 to Yamazaki et al., published February 22, 1994, and U.S. Patent No. 5,289,300 to Yamazaki et al., published February 22, 1994, are of record in the present application, and were submitted and considered by the Examiner during prosecution of the present application. In the Information Disclosure Statement (IDS) filed September 5, 2000, "5,289,300" was cited; however, as noted in the IDS filed March 15, 2001, the patentee noted that "5,289,300" was cited in error and that "5,289,030" was the appropriate citation. The patentee did not initially receive conformation of this correction. Therefore, the patentee prepared a *Correction to Previously Submitted Information Disclosure Statement* filed March 16, 2006, which again requested that "5,289,300" be changed to "5,289,030." The Examiner accepted this correction as noted in the Interview Summary mailed April 5, 2006, and evidenced by an initialed and hand-corrected Form PTO-1449 attached to the Interview Summary.

Independently and separately, U.S. Patent No. 5,289,300 was cited in the IDS filed August 15, 2001, and the Examiner's consideration of the same was evidenced by

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an initialed Form PTO-1449 attached to the Official Action mailed October 18, 2001. Therefore, both the '030 and '300 patents are of record, were considered by the Examiner and should have appeared on the face of the resulting patent.

However, apparently due to an oversight by the Patent Office in preparing the patent for issue, the citation of U.S. Patent No. 5,289,030 to Yamazaki et al. was omitted from the "References Cited" section (56) of the issued patent. As a result, an error appears on page 2 of the patent in that the citation of the '030 patent has been omitted. Therefore, the patentee respectfully requests that the issued patent be corrected as follows: in the "References Cited" section (56), on page 2 of the patent, at column 2, between lines 15 and 16, insert "5,289,030 A 2/1994 Yamazaki et al."

JP 02-208635 was originally cited in the IDS filed September 5, 2000, with an incorrect publication date of "08/1998." The correct publication date for JP '635 is August 20, 1990. The patentee prepared a *Correction to Previously Submitted Information Disclosure Statement* filed March 16, 2006, which requested that the publication date of JP '635 be changed to "08/20/1990." The Examiner accepted this correction as noted in the Interview Summary mailed April 5, 2006, and evidenced by an initialed and hand-corrected Form PTO-1449 attached to the Interview Summary. Therefore, JP '635 should have been listed with a publication date of "8/1990" on the issued patent.

However, apparently due to an oversight by the Patent Office in preparing the patent for issue, the publication date of JP '635 appears as "8/1998" at page 3 of the issued patent. Therefore, the patentee respectfully requests that the issued patent be corrected as follows: in the "References Cited" section (56), on page 3 of the patent, at column 2, between lines 13 and 14, add the citation "JP 2-208635 8/1990" and at line 34, delete the citation of "JP 2-208635 8/1998."

The Hatalis article was originally cited in the IDS filed September 5, 2000, with an incorrect citation of the page numbers, i.e. "161-164." The correct citation of the page numbers for the Hatalis article is "361-364." The patentee prepared a *Correction to*

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*Previously Submitted Information Disclosure Statement* filed March 16, 2006, which requested that the citation of the page numbers be changed to "361-364." The Examiner accepted this correction as noted in the Interview Summary mailed April 5, 2006, and evidenced by an initialed and hand-corrected Form PTO-1449 attached to the Interview Summary. Therefore, the Hatalis article should have been listed with the page numbers "361-364."

However, apparently due to an oversight by the Patent Office in preparing the patent for issue, the Hatalis article appears with the page numbers "161-164" at page 4 of the issued patent. Therefore, the patentee respectfully requests that the issued patent be corrected as follows: in the "References Cited" section (56), on page 4 of the patent, at column 1, line 16, replace "161-164" with "361-364."

As the errors were incurred through the fault of the Office, a fee is not believed to be necessary. Should it be determined that a fee is necessary, any deficiencies or overages in any fees due in connection with this patent and the requested actions should be applied to Deposit Account No. 50-2280(0756-2203).

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

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PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

May 3 2006

## CERTIFICATE OF CORRECTION

PATENT NO : 7,098,479

DATED : August 29, 2006

INVENTOR(S) : Shunpei YAMAZAKI

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the "References Cited" section (56):

On page 2 of the patent, at column 2, between lines 15 and 16, insert --5,289,030 A 2/1994 Yamazaki et al.--.

On page 3 of the patent, at column 2, between lines 13 and 14, add the citation --JP 2-208635 8/1990-- and at line 34, delete the citation of "JP 2-208635 8/1998."

On page 4 of the patent, at column 1, line 16, replace "161-164" with --361-364--.

MAILING ADDRESS OF SENDER:

Eric J. Robinson  
Robinson Intellectual Property Law Office  
PMB 955  
21010 Southbank Street  
Potomac Falls, VA 20165

PATENT NO. 7,098,479

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